CLINICAL QUALITY ASSURANCE ROLES AND RESPONSIBILITIES FOR AUDITORS AND MANAGERS

AUG. 22-23, 2017 AMA EXECUTIVE CONFERENCE CENTER ARLINGTON, VA

AN INTERACTIVE WORKSHOP PRESENTED BY MSCEPPA CONSULTING AND FDANEWS

AGENDA

<u>Day 1</u>

8:00 a.m. – 8:30 a.m. Registration and Continental Breakfast

8:30 a.m. – 10:00 a.m. Starting on the Same Page: Good Clinical Practice Regulatory Requirements and Expectations

- Review of FDA and GCP requirements for conducting a clinical study
- What role does ICH have in good clinical practices?
- Responsibilities associated with institutional review boards and ethic committees (IRB/EC)
- Principal investigator roles and responsibilities
- Essential elements of informed consent agreement
- What is the sponsor's role in the clinical study?
- Understanding the study protocol
- The investigator's brochure why is it important?

10:00 a.m. - 10:15 a.m. Refreshment Break

10:15 a.m. – 12:00 p.m. GCP Audits: What Audits Are Needed to Maintain a Compliant GCP Program

- What are the fundamentals of a GCP audit?
- Establishing an audit program
- The components of an audit program
- Qualifying a clinical research organization (CRO)
- Central laboratory audits and why they need to be a component of a GCP program
- Auditing clinical sites who gets the audit and why?
- Why is it important to address the audit observations and follow-up?

12:00 p.m. – 1:00 p.m. Lunch Break

1:00 p.m. – 3:00 p.m. Working with a CRO: Conducting Compliant GCP Studies

- When utilizing the services of a CRO, it is still important to meet and maintain the sponsor's requirements
- When working with a clinical site: Are GCPs being followed and most importantly, are they being documented?
- The "relationships": QA, clinical and the CRO a tenuous relationship at best

| | The audit: aspects and specifics — what is the value of an audit? Audit follow-up — always important to conduct the audit, but are the recommendations and corrections implemented? Post-CRO assessment — was the relationship with the sponsor and the CRO successful? — A checklist to evaluate the performance | |
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| 3:00 p.m. – 3:15 p.m. | Refreshment Break | |
| 3:15 p.m. – 5:00 p.m. | Most Commonly Cited GCP Violations at a Clinical Site | |
| | Principles of GCPs at a clinical site The areas FDA investigators focus on PI responsibilities for the study — why the sponsor and the PI sometimes differ in this area Reviewing FDA inspections and the top five GCP violations | |
| 5:00 p.m. | Session Wrap-Up, End of Day | |

<u>Day 2</u>

| 8:00 a.m. – 8:30 a.m. | Continental Breakfast |
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| 8:30 a.m. – 10:30 a.m. | Writing GCP Audit SOPs: Elements of the SOPs |

- INTERACTIVE EXERCISE! Writing a clinical site audit SOP; why do you need this SOP; what are the important elements of this SOP?
- INTERACTIVE EXERCISE! Writing a central laboratory audit SOP; why do you need this SOP; what are the important elements of this SOP?

10:30 a.m. – 10:45 a.m. Refreshment Break

10:45 a.m. - 12:00 p.m. Preparing and Setting Up CQA Audits

- Selecting sites to be audited creating a master audit plan and how to make sure it is met
- Auditing contract research organizations why it is important to audit a phase 1 unit
- Audits of a central laboratory why lab data and testing are a critical component of the clinical study
- Managing the audits (logistics, time, etc.) why managing the logistics of an audit are critical to the audit itself
- Conducting the audits what makes a "good" auditor?
- The site visit audit report when and how the report is a valuable tool
- Evaluating the audit findings and implementing corrective actions whose responsibility is it?

12:00 p.m. – 1:00 p.m. Lunch Break

- Preparing for the audit: A checklist does not make you a good auditor, but it is a useful tool
- The auditor's performance: how to be nice but still maintain professional efficiency
- Interviewing skills
- **INTERACTIVE EXERCISE!** Interviewing a peer
- Writing skills: report formats most auditors find useful
- **INTERACTIVE EXERCISE!** Creating a report format
- Postassessment of the study: was it successful and did the "relationships" (clinical, quality and the CRO) work?

5:00 p.m. Workshop Adjournment